

EXEMPTION CERTIFICATE APPLICATION

MORTGAGE LENDING DIVISION
NEVADA DEPARTMENT OF BUSINESS AND INDUSTRY
Mail to: 400 W King Street Suite 406
Carson City NV 89703

Application for a ☐ **Mortgage Broker Exemption Certificate** NRS 645B.015
☐ **Mortgage Banker Exemption Certificate** NRS 645E.150

Enclosed is (mark applicable box):

- ☐ \$200 for **Original** (initial)
☐ \$100 for **Renewal**
☐ \$200 for **Reinstatement**

Entity Information requesting exemption Certificate

Name _____

Address to mail certificate _____
(Corporate address) _____ Street

_____ City _____ State _____ Zip

Contact name _____ Title _____

Telephone number _____

Address of Nevada location _____
_____ Street _____ State _____ Zip

Please provide a list of all offices. Only one mortgage exemption certificate is required for multi-branch Nevada activities.

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Consumer information contact name _____

Telephone number _____

The consumer contact name and telephone number will be given for consumer inquiries and concerns.

Signature of applicant: _____

Print Name _____ Title _____

Date: _____

Telephone number for licensing and compliance _____

Email address: _____

The mortgage broker or banker is applying for exemption under the following subsection:

If subsection (1) is checked please enclosed a copy of a letter from the OCC, FRB, OTS, or other State agency indicating the mortgage banker's activities conducted in Nevada are subject to regulation, supervision and examination by the corresponding agency and that agency would respond to complaints from Nevada residents.

- ☐ (1) Any person doing business under the laws of this state, any other state or the United States relating to banks, savings banks, trust companies, savings and loan associations, consumer finance companies, industrial loan companies, credit unions, thrift companies or insurance companies, unless the business conducted in this state is not subject to supervision by the regulatory authority of the other jurisdiction, in which case licensing pursuant to this chapter is required.
- ☐ (2) A real estate investment trust, as defined in 26 U.S.C. #856, unless the business conducted in this state is not subject to supervision by the regulatory authority of the other jurisdiction, in which case licensing pursuant to this chapter is required.
- ☐ (3) An employee benefit plan, as defined in 29 U.S.C. #1002(3), if the loan is made directly from money in the plan by the plan's trustee.
- ☐ (4) An attorney at law rendering services in the performance of his duties as an attorney at law.
- ☐ (5) A real estate broker rendering services in the performance of his duties as a real estate broker.
- ☐ (6) Any person doing any act under an order of any court.
- ☐ (7) Any one natural person, or husband and wife, who provides money for investment in loans secured by a lien on real property, on his own account, unless such a person makes a loan secured by a lien on real property using his own money and assigns all or a part of his interest in the loan to another person, other than his spouse or child, within 5 years after the date on which the loan is made or the deed of trust is recorded, whichever occurs later.
- ☐ (8) Agencies of the United States and of this state and its political subdivisions, including the public employees' retirement system.
- ☐ (9) A seller of real property who offers credit secured by a mortgage of the property sold.

The Division may request additional documentation to support the claim of exemption.